PATENT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Shin-itsu TAKEHASHI et al. Prior Group

filed November 9, 2000

Art Unit: 2815

Serial No.: Rule 1.53(b) Divisional

of SN 09/700,132

Prior Examiner:

George C. Eckert II

Filed: June 30, 2003

For: THIN-FILM TRANSISTOR, PANEL,
AND METHODS FOR PRODUCING THEM

## INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1405 Alexandria, Virginia 22313-1450

Sir:

Pursuant to 37 C.F.R. §1.56, the attention of the U.S. Patent and Trademark Office is hereby directed to the references listed on the attached Form PTO-1449.

The listed references were cited during prosecution of patent application Serial No. 09/700,132 filed November 9, 2000, and copies of each reference may be found in the USPTO file for the parent application.

The above information is presented so that the U.S. Patent and Trademark Office may, in the first instance, determine any materiality thereof to the claimed invention. See 37 C.F.R. \$1.104(a) and \$1.106(b) concerning the USPTO duty to consider and

use any such information. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that these references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

Respectfully submitted,

PARKHURST & WENDEL, L.L.P.

June 30, 2003

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Sheet <u>1</u> of <u>1</u>									
FORM PTO 1449 (modified)  U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE  LIST OF REFERENCES CITED BY APPLICANT(S) (Use several sheets if necessary)					SERIAL N	L NO. 53(b) Div of SN 09/700,132 filed November 9,			
			APPLICANT Shin-itsu TAKEHASHI et al.						
			FILING DATE June 30, 2003			GROUP 2815			
			U.S. PATENT DOCUMENTS						
*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS		SUBCLASS	FILING DATE IF APPROPRIATE		
	5,089,863	02/1992	Satoh et al.	257		388			
	5,471,080	11/1995	Satoh et al.	257		344			
	5,880,015	03/1999	Hata	438		585			
	5,977,586	11/1999	Crisenza et al.	257		326			
	5,989,967	●1/1998	Gardner et al.	438		305			
	5,981,383	11/1999	Lur et al.	438		655			
	6,069,387	05/2000	Gardner	257		344			
	6,284,613	09/2001	Subrahmanyam et al.	438		307			
			FOREIGN PATENT DOCUMENTS						
	DOCUMENT NUMBER	DATE	COUNTRY	CLASS		SUBCLASS	TRANSLATION NO YES		
	3-61-36975	02/1986	Japan	257		344			
	3-63-9154	01/1998	Japan	438		158			
	4-2-226727	09/1990	Japan	438		305	: =		
	4-5-21454	01/1993	Japan	H01L		21/336			
	4-5-243261	09/1993	Japan	257		408			
	4-6-260645	09/1994	Japan	H01L		29/784			
	3-60-127761	07/1985	Japan	H01L		29/78			
OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)									
	"Spacer Formation on Electrodes with Non-Vertical Sidewalls," IBM Technical Disclosure Bulletin, Nov. 1, 1984, Vol. 27, No. 6,								
	TDB-ACC-No. NN84113464, pp 3464-66.								
EXAMINER: DATE CONSIDERED:									

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.